# Challenges and open problems related to the algebraic diagrammatic construction scheme 

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(1) A brief overview of ADC
(2) Sketching the derivation

- Via many-body Green's function theory
- Via intermediate states
- Broader prospect
(3) Numerically solving ADC
- Solving by diagonalisation
- Solving linear-response-like

4 Open questions and problems

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4. Open questions and problems
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## Why excited-state calculations?

- Interaction light and matter
- Spectroscopy (UV/vis, UV, X-ray)
- Photoreactivity
- Application in materials and chemistry:
- Colour
- Energy production, storage and transport
- UV durability / Photosynthesis
- Molecular motors / sensors


## Comparison of methods for $n=2^{1}$

|  | CISD | CC2 | ADC(2) |
| :--- | :---: | :---: | :---: |
| Ground state | CISD | CC2 | MP2 |
| Ground state order | 3 | 3 | $\mathbf{2}$ |
| Size consistency | No | $($ Yes $)$ | Yes |
| Hermiticity | Yes | No | Yes |
| Max. excitation | 2 | 2 | $\mathbf{2}$ |
| Order properties | 2 | 2 | $\mathbf{2}$ |
| Naive scaling | $n^{6}$ | $n^{5}$ | $n^{5}$ |

[^0]
## Comparison of methods for $n=3^{1}$

|  | CISDT | CC3 | ADC(3) |
| :--- | :---: | :---: | :---: |
| Ground state | CISDT | CC3 | MP3 |
| Ground state order | 4 | 4 | $\mathbf{3}$ |
| Size consistency | No | $($ Yes $)$ | Yes |
| Hermiticity | Yes | No | Yes |
| Max. excitation | 3 | 3 | $\mathbf{2}$ |
| Order properties | 3 | 3 | $\mathbf{3}$ |
| Naive scaling | $n^{8}$ | $n^{7}$ | $n^{6}$ |

${ }^{1}$ M. Wormit, D. R. Rehn, P. H. Harbach, J. Wenzel, C. M. Krauter, E. Epifanovsky and A. Dreuw. Mol. Phys., 112, 774 (2014)

## Current status of ADC methods

- $\mathrm{ADC}(2)$ : Established, excitation errors around 0.5 eV
- ADC(3): Error comparable to benchmark methods
- Specialised variants exist (ionisation, core, spin-flip)
- Method limits:
- Strong multi-reference cases
- MP ground state fails
- Mathematical and numerical aspects:
- Barely investigated
- E.g. solvers unreliable for poor guesses / challenging cases


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${ }_{\text {SEIT }} 1386$


## Green's functions and the polarisation propagator

- One-particle Green's function or propagator:

$$
G_{p, p^{\prime}}\left(t_{p}-t_{p^{\prime}}\right)=-\imath\left\langle\Psi_{0}^{N}\right| \hat{\mathcal{T}} \hat{\mathrm{c}}_{p}\left(t_{p}\right) \hat{\mathrm{c}}_{p^{\prime}}^{\dagger}\left(t_{p^{\prime}}\right)\left|\Psi_{0}^{N}\right\rangle
$$

Time-evolution of wave function

- Two-particle Green's function or two-particle propagator:

$$
G_{p q, p^{\prime} q^{\prime}}\left(t_{p}, t_{q}, t_{p^{\prime}}, t_{q^{\prime}}\right)=-\imath\left\langle\Psi_{0}^{N}\right| \hat{\mathcal{T}} \hat{\mathrm{c}}_{p}\left(t_{p}\right) \hat{\mathrm{c}}_{q}\left(t_{q}\right) \hat{\mathrm{c}}_{p^{\prime}}^{\dagger}\left(t_{p^{\prime}}\right) \hat{\mathrm{c}}_{q^{\prime}}^{\dagger}\left(t_{q^{\prime}}\right)\left|\Psi_{0}^{N}\right\rangle
$$

Time-evolution of $G_{p, p^{\prime}}$

- Polarisation propagator or particle-hole propagator:

$$
\Pi_{j k, j^{\prime} k^{\prime}}\left(t-t^{\prime}\right)=-\imath\left\langle\Psi_{0}^{N}\right| \hat{\mathcal{T}}_{\hat{\mathrm{c}}_{j}}(t) \hat{\mathrm{c}}_{k^{\prime}}\left(t^{\prime}\right) \hat{\mathrm{c}}_{k}^{\dagger}(t) \hat{\mathrm{c}}_{j^{\prime}}^{\dagger}\left(t^{\prime}\right)\left|\Psi_{0}^{N}\right\rangle
$$

Special case of $G_{p q, p^{\prime} q^{\prime}}\left(t_{p}, t_{q}, t_{p^{\prime}}, t_{q^{\prime}}\right)$, evolution after excitation

## Polarisation propagator $(1)^{1}$

- Spectral or Lehmann representation:

$$
\begin{aligned}
\Pi_{j k, j^{\prime} k^{\prime}}(\omega)= & \sum_{m \neq 0} \frac{\left\langle\Psi_{0}\right| \hat{\mathrm{c}}_{k}^{\dagger} \hat{\mathrm{c}}_{j}\left|\Psi_{m}\right\rangle\left\langle\Psi_{m}\right| \hat{\mathrm{c}}_{j^{\prime}}^{\dagger} \hat{\mathrm{c}}_{k^{\prime}}\left|\Psi_{0}\right\rangle}{\omega-\left(E_{m}-E_{0}\right)+\imath \eta} \\
& -\sum_{m \neq 0} \frac{\left\langle\Psi_{0}\right| \hat{\mathrm{c}}_{j^{\prime}}^{\dagger} \hat{\mathrm{c}}_{k^{\prime}}\left|\Psi_{m}\right\rangle\left\langle\Psi_{m}\right| \hat{\mathrm{c}}_{k}^{\dagger} \hat{\mathrm{c}}_{j}\left|\Psi_{0}\right\rangle}{\omega+\left(E_{m}-E_{0}\right)-\imath \eta}
\end{aligned}
$$

where $\eta \rightarrow 0$

- Both terms contain same physical information:
- Poles: Excitation energies $E_{m}-E_{0}$
- Transition amplitudes

$$
x_{m, j k} \equiv x_{m, j \leftarrow k}=\left\langle\Psi_{m}\right| \hat{c}_{j}^{\dagger} \hat{c}_{k}\left|\Psi_{0}\right\rangle
$$

[^1]
## Polarisation propagator (2) ${ }^{1}$

- Only consider

$$
\begin{aligned}
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega) & =\sum_{m \neq 0} \frac{\left\langle\Psi_{0}\right| \hat{\mathrm{c}}_{\mathrm{c}}^{\dagger} \hat{\mathrm{c}}_{j}\left|\Psi_{m}\right\rangle\left\langle\Psi_{m}\right| \hat{\mathrm{c}}_{j^{\prime}}^{\dagger} \hat{\mathrm{c}}_{k^{\prime}}\left|\Psi_{0}\right\rangle}{\omega-\left(E_{m}-E_{0}\right)} \\
& =\underline{\boldsymbol{x}}_{j k}^{\dagger}(\omega \mathbf{I}-\boldsymbol{\Omega})^{-1} \underline{\boldsymbol{x}}_{j^{\prime} k^{\prime}}
\end{aligned}
$$

where

$$
\begin{aligned}
\omega_{i} & \equiv E_{i}-E_{0} \\
\boldsymbol{\Omega} & \equiv \operatorname{diag}\left(\omega_{1}, \omega_{2}, \ldots, \omega_{m}\right) \\
x_{m, j k} & =\left\langle\Psi_{m}\right| \hat{c}_{j}^{\dagger} \hat{c}_{k}\left|\Psi_{0}\right\rangle
\end{aligned}
$$

${ }^{1}$ J. Schirmer. Phys. Rev. A, 26, 2395 (1982)

## Algebraic-diagrammatic construction (ADC) (1)

- Last slide: Diagonal representation

$$
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega)=\underline{\boldsymbol{x}}_{j k}^{\dagger}(\omega \mathbf{I}-\boldsymbol{\Omega})^{-1} \underline{\boldsymbol{x}}_{j^{\prime} k^{\prime}}
$$

- Insert unitary transformation $\mathbf{Y}$ to generalise:

$$
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega)=\underline{\boldsymbol{x}}_{j k}^{\dagger} \mathbf{Y}^{\dagger} \mathbf{Y}(\omega \mathbf{I}-\boldsymbol{\Omega})^{-1} \mathbf{Y}^{\dagger} \mathbf{Y} \underline{\boldsymbol{x}}_{j^{\prime} k^{\prime}}
$$

$\Rightarrow$ ADC representation

$$
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega)=\underline{\boldsymbol{f}}_{j k}^{\dagger}(\omega \mathbf{I}-\mathbf{M})^{-1} \underline{\boldsymbol{f}}_{j^{\prime} k^{\prime}}
$$

with

- Modified transition amplitudes $\underline{f}_{j k}=\mathbf{Y} \underline{\boldsymbol{x}}_{j k}$
- $\operatorname{ADC}$ matrix $\mathbf{M}=\mathbf{Y} \Omega \mathbf{Y}^{\dagger}$


## Algebraic-diagrammatic construction (2) ${ }^{1}$

- Well-known expansion via Feynman-Dyson perturbation theory:

$$
\Pi^{+}=\Pi^{(0)}+\Pi^{(1)}+\Pi^{(2)}+\cdots
$$

- From these diagrams ADC scheme constructs

$$
\begin{aligned}
\mathbf{M} & =\mathbf{M}^{(0)}+\mathbf{M}^{(1)}+\mathbf{M}^{(2)}+\cdots \\
\underline{\boldsymbol{f}}_{j k} & =\underline{\boldsymbol{f}}_{j k}^{(0)}+\underline{\boldsymbol{f}}_{j k}^{(1)}+\underline{\boldsymbol{f}}_{j k}^{(2)}+\cdots
\end{aligned}
$$

based on Møller-Plesset partitioning and HF reference
$\Rightarrow n$-th order in $\mathbf{M} \& \underline{f}_{j k}$ equivalent to $n$-th order in $\Pi^{+}$

[^2]
## Algebraic-diagrammatic construction (3)

- Some ambiguity in construction of terms ${ }^{1}\left(\mathbf{M}^{(n)}\right.$ versus $\left.\underline{f}^{(n)}\right)$
$\Rightarrow$ ADC: Make M least diagonal
- Avoids "dangerous denominators" ${ }^{2}$
- Leads to "compactness advantage" ${ }^{2}$ in M
- Other choices ${ }^{2}$ :
- Rayleigh-Schrödinger perturbation theory (RSPT) (Y = I)
- Configuration-interaction (CI)
${ }^{1}$ J. Schirmer. Phys. Rev. A, 26, 2395 (1982)
${ }^{2}$ J. Schirmer. Phys. Rev. A, 43, 4647 (1991)


## Algebraic-diagrammatic construction (4)

## Diagonal representation

$$
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega)=\underline{\boldsymbol{x}}_{j k}^{\dagger}(\omega \mathbf{I}-\boldsymbol{\Omega})^{-1} \underline{\boldsymbol{x}}_{j^{\prime} k^{\prime}}
$$

$\Rightarrow$ Directly yields $\omega_{m}$ and $x_{m, j k}$
ADC representation

$$
\Pi_{j k, j^{\prime} k^{\prime}}^{+}(\omega)=\underline{\boldsymbol{f}}_{j k}^{\dagger}(\omega \mathbf{I}-\mathbf{M})^{-1} \underline{\boldsymbol{f}}_{j^{\prime} k^{\prime}}
$$

(1) Obtain $N_{\text {states }}$ lowest eigenpairs $\left(\omega_{m}, \underline{\boldsymbol{y}}_{m}\right)$

$$
\mathbf{M} \underline{\boldsymbol{y}}_{m}=\omega_{m} \underline{\boldsymbol{y}}_{m}
$$

where $\underline{\boldsymbol{y}}_{m}$ is $m$-th column of $\mathbf{Y}$, the $m$-th transition vector.
(2) Transition amplitude is

$$
x_{m, j k}=\left(\underline{\boldsymbol{y}}_{m}\right)^{\dagger} \underline{\boldsymbol{f}}_{j k}
$$

## Algebraic-diagrammatic construction (5)

- ADC generalises to higher excitations: doubles, triples, ...
- Transition amplitudes $x_{m, j \leftarrow k, j^{\prime} \leftarrow k^{\prime}}$
- Modif. transition amplitudes $f_{i a j b, j \leftarrow k, j^{\prime} \leftarrow k^{\prime}}$
- Transition vectors $Y_{i a j b, m}$
- Collect block-wise: E.g. transition vector

$$
\mathbf{Y}=\left(\begin{array}{ccc}
\vdots & \vdots & . \\
Y_{i a, 1} & Y_{i a, 2} & \cdots \\
\vdots & \vdots & \\
Y_{i a j b, 1} & Y_{i a j b, 2} & \cdots \\
\vdots & \vdots & \ddots
\end{array}\right) \quad \underline{\boldsymbol{y}}=\left(\begin{array}{c}
\vdots \\
y_{i a} \\
\vdots \\
y_{i a j b} \\
\vdots
\end{array}\right)
$$

- Elements written as $Y_{I, m}$ and $y_{I}$ where $I$ runs over all index tuples $(i, a)$ and $(i, a, j, b)$


## Intermediate states representation (1)

- Basis of $\boldsymbol{\Omega}$ : Exact Schrödinger states
- Alternative basis for this space:

$$
\left\{\Psi_{0}, \hat{\mathrm{c}}_{a}^{\dagger} \hat{c}_{i} \Psi_{0}, \hat{\mathrm{c}}_{b}^{\dagger} \hat{\mathrm{c}}_{j} \hat{\mathrm{c}}_{a}^{\dagger} \hat{\mathrm{c}}_{i} \Psi_{0}, \ldots\right\} \equiv\left\{\hat{\mathrm{C}}_{I} \Psi_{0}\right\}_{I}
$$

where $\Psi_{0}$ is the exact ground state

- $\mathbf{Y}$ unitary transforms $\boldsymbol{\Omega}$ to $\mathbf{M}$
$\Rightarrow$ Direct construction of intermediate state basis $\left\{\tilde{\Psi}_{I}\right\}_{I}$ :
- Intermediate states representation ${ }^{1}$ :

$$
\begin{aligned}
M_{I J} & =\left\langle\tilde{\Psi}_{I}\right| \hat{\mathcal{H}}-E_{0}\left|\tilde{\Psi}_{J}\right\rangle \\
f_{I, j k} & =\left\langle\tilde{\Psi}_{I}\right| \hat{\mathrm{c}}_{j}^{\dagger} \hat{c}_{k}\left|\Psi_{0}\right\rangle
\end{aligned}
$$

[^3]
## Intermediate states (2)

- Based on MP partitioning of $\hat{\mathcal{H}}_{\text {elec }}$
- Use MP ground state and build $\left\{\hat{\mathrm{C}}_{I} \Psi_{0}\right\}_{I}$
- Block-wise QR orthogonalsation singles, doubles, triples, ...
$\Rightarrow$ Intermediate states
- Allows systematic construction:
- M (shifted Hamiltonian)
- $\mathbf{f}$ (state projection)
- arbitrary operators


## Other propagators for ADC

- Polarisation propagator: Evolution after excitation
- Complex polarisation propagator: Models relaxation as well
- Particle propagator: Evolution after particle attachment
- Hole propagator: Evolution after particle removal
$\Rightarrow$ Can apply ADC scheme to all of them!
$\Rightarrow$ Very similar structure of equations


## Connection to RPA ${ }^{1}$

- ADC: Eigenproblem, p-h, 2p-2h, ...

$$
\mathbf{M} \underline{\boldsymbol{y}}=\omega \underline{\boldsymbol{y}}
$$

- RPA: Pseudo-Eigenproblem, p-h, h-p

$$
\left(\begin{array}{cc}
\mathbf{A} & \mathbf{B} \\
\mathbf{B}^{\dagger} & \mathbf{A}
\end{array}\right)\binom{\underline{\boldsymbol{y}}}{\underline{\boldsymbol{z}}}=\omega\left(\begin{array}{cc}
\mathbf{I} & \mathbf{0} \\
\mathbf{0} & -\mathbf{I}
\end{array}\right)\binom{\underline{\boldsymbol{y}}}{\underline{\boldsymbol{z}}}
$$

- RPA and TDA excitation energies: Both exact up to first order
- Generally too large
- Note: RPA no increase in perturbation order ${ }^{1}$
- $\operatorname{ADC}(1)$ exci. energies $\equiv$ CIS / TDA-RPA on HF reference
${ }^{1}$ J. Schirmer. Phys. Rev. A, 26, 2395 (1982)


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## Structure of the ADC matrix (1)



ADC(0)


ADC(2)-x

ADC(3)

## Structure of the ADC matrix (2)

- Problem: CN• (STO-3G)



## Diagonalisation procedure $\mathrm{M} \underline{y}=\omega \underline{y}$

- M quickly becomes large
- Iterative diagonalisation procedures required
- Usually only smallest few eigenpairs $(\omega, \underline{\boldsymbol{y}})$ needed
- $\mathbf{M}$ is well diagonally dominant
$\Rightarrow$ Contraction-based Jacobi-Davidson algorithm
- Guess: Smallest diagonal elements
- Davidson-type "preconditioning": Diagonal of M
- Expressions to perform block-wise matrix-vector product
- Typical cases: Convergence within 30 steps


## Jacobi-Davidson algorithm

- Subspace algorithm:
- Current Ritz pair $\left(\theta^{(j)}, \underline{\boldsymbol{y}}^{(j)}\right)$
- Current residual $\underline{\boldsymbol{r}}^{(j)}=\mathbf{M} \underline{\boldsymbol{y}}^{(j)}-\omega \underline{\boldsymbol{y}}^{(j)}$
- Jacobi orthogonal component correction: Add $\underline{\boldsymbol{t}}^{(j)} \perp \underline{\boldsymbol{v}}^{(j)}$ to subspace, s.t.

$$
\mathbf{M}\left(\underline{\boldsymbol{y}}^{(j)}+\underline{\boldsymbol{t}}^{(j)}\right)=\omega\left(\underline{\boldsymbol{y}}^{(j)}+\underline{\boldsymbol{t}}^{(j)}\right)
$$

- $\omega$ unknown, so instead solve

$$
\mathbf{M}_{\theta} \underline{\boldsymbol{t}}^{(j)} \equiv\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right)\left(\mathbf{M}-\theta^{(j)} \mathbf{I}\right)\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right) \underline{\boldsymbol{t}}^{(j)}=-\underline{\boldsymbol{r}}^{(j)}
$$

- Davidson suggested to solve:

$$
\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right)\left(\mathbf{D}-\theta^{(j)} \mathbf{I}\right) \underline{\boldsymbol{t}}^{(j)}=-\underline{\boldsymbol{r}}^{(j)}
$$

where $\mathbf{D}$ is an approximation to $\mathbf{M}$.

- Sleijpen-van-der-Vorst preconditioning:


## Jacobi-Davidson algorithm

- Subspace algorithm:
- Current Ritz pair $\left(\theta^{(j)}, \underline{\boldsymbol{y}}^{(j)}\right)$
- Current residual $\underline{\boldsymbol{r}}^{(j)}=\mathbf{M} \underline{\boldsymbol{y}}^{(j)}-\omega \underline{\boldsymbol{y}}^{(j)}$
- Jacobi orthogonal component correction: Add $\underline{\boldsymbol{t}}^{(j)} \perp \underline{\boldsymbol{v}}^{(j)}$ to subspace, s.t.

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$$

- $\omega$ unknown, so instead solve

$$
\mathbf{M}_{\theta} \underline{\boldsymbol{t}}^{(j)} \equiv\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right)\left(\mathbf{M}-\theta^{(j)} \mathbf{I}\right)\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right) \underline{\boldsymbol{t}}^{(j)}=-\underline{\boldsymbol{r}}^{(j)}
$$

- Davidson suggested to solve:

$$
\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right)\left(\mathbf{D}-\theta^{(j)} \mathbf{I}\right) \underline{\boldsymbol{t}}^{(j)}=-\underline{\boldsymbol{r}}^{(j)}
$$

where $\mathbf{D}$ is an approximation to $\mathbf{M}$.

- Sleijpen-van-der-Vorst preconditioning:

$$
\mathbf{K}_{\theta}^{+} \mathbf{M}_{\theta} \underline{\underline{t}}^{(j)}=-\mathbf{K}_{\theta}^{+} \underline{\boldsymbol{r}}^{(j)}
$$

where $\mathbf{K}_{\theta}^{+}$is an approximate iterative inverse of $\mathbf{M}_{\theta}$.

## Linear-response-like ansatz (1)

- HF provides good guesses for $(\omega, \underline{\boldsymbol{y}})$ : Almost there $\Rightarrow$ Why not directly solve

$$
\mathbf{M} \underline{y}-\omega \underline{y}=\underline{\mathbf{0}}
$$

- Jacobi iterations:

$$
\begin{aligned}
\underline{\boldsymbol{r}}^{(j)} & =\mathbf{M} \underline{\boldsymbol{y}}^{(j)}-\theta^{(j)} \underline{\boldsymbol{y}}^{(j)} \\
\underline{\boldsymbol{y}}^{(j+1)} & =\underline{\boldsymbol{y}}^{(j)}-\left(\mathbf{D}-\theta^{(j)} \mathbf{I}\right)^{-1} \underline{\boldsymbol{r}}^{(j)}
\end{aligned}
$$

where $\theta^{(j)}$ is the current Rayleigh quotient

- Anderson / DIIS acceleration
- Need to solve one linear equation per eigenpair $(\omega, \underline{\boldsymbol{y}})$


## Linear-response-like ansatz (2)

$+\mathrm{ADC}(2)$ becomes very fast (next slide)

- Multiple guess pairs ( $\omega, \underline{\boldsymbol{y}}$ ) may collapse to one result
- Missing states, unnatural resulting multiplicities
$\Rightarrow$ Nowadays only done for ADC(2)


## PP-ADC: Doubles folding (1)

$$
\left.\begin{array}{rl}
\underline{\boldsymbol{r}} & =\mathbf{M} \underline{\boldsymbol{y}}-\theta \underline{\boldsymbol{y}} \\
\left(\underline{\boldsymbol{r}}^{(1)}\right. \\
\underline{\boldsymbol{r}}^{(2)}
\end{array}\right)=\left(\begin{array}{cc}
\mathbf{M}^{(11)}-\theta \mathbf{I} & \mathbf{M}^{(21) \dagger} \\
\mathbf{M}^{(21)} & \mathbf{M}^{(22)}-\theta \mathbf{I}
\end{array}\right)\binom{\underline{\boldsymbol{y}}^{(1)}}{\underline{\boldsymbol{y}}^{(2)}} .
$$

Enforce zero doubles residual:

$$
\begin{aligned}
\underline{\mathbf{0}} & \stackrel{!}{=} \underline{\boldsymbol{r}}^{(2)}=\mathbf{M}^{(21)} \underline{\boldsymbol{y}}^{(1)}+\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(2)} \\
& \Rightarrow \underline{\boldsymbol{y}}^{(2)}=-\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)} \underline{\boldsymbol{y}}^{(1)}
\end{aligned}
$$

Plug into first equation:

$$
\Rightarrow \underline{\boldsymbol{r}}^{(1)}=\left(\mathbf{M}^{(11)}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)}+\mathbf{M}^{(21) \dagger} \underline{\boldsymbol{y}}^{(2)}
$$

## PP-ADC: Doubles folding (1)

$$
\left.\begin{array}{rl}
\underline{\boldsymbol{r}} & =\mathbf{M} \underline{\boldsymbol{y}}-\theta \underline{\boldsymbol{y}} \\
\left(\underline{\boldsymbol{r}}^{(1)}\right. \\
\underline{\boldsymbol{r}}^{(2)}
\end{array}\right)=\left(\begin{array}{cc}
\mathbf{M}^{(11)}-\theta \mathbf{I} & \mathbf{M}^{(21) \dagger} \\
\mathbf{M}^{(21)} & \mathbf{M}^{(22)}-\theta \mathbf{I}
\end{array}\right)\binom{\underline{\boldsymbol{y}}^{(1)}}{\underline{\boldsymbol{y}}^{(2)}} .
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Enforce zero doubles residual:

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\underline{\mathbf{0}} & \stackrel{!}{=} \underline{\boldsymbol{r}}^{(2)}=\mathbf{M}^{(21)} \underline{\boldsymbol{y}}^{(1)}+\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(2)} \\
& \Rightarrow \underline{\boldsymbol{y}}^{(2)}=-\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)} \underline{\boldsymbol{y}}^{(1)}
\end{aligned}
$$

Plug into first equation:

$$
\begin{aligned}
\Rightarrow \underline{\boldsymbol{r}}^{(1)} & =\left(\mathbf{M}^{(11)}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)}-\underbrace{\mathbf{M}^{(21) \dagger}\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)}}_{\equiv \mathbf{A}_{\theta}} \underline{\boldsymbol{y}}^{(1)} \\
& =\left(\mathbf{M}^{(11)}-\mathbf{A}_{\theta}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)}
\end{aligned}
$$

## PP-ADC: Doubles folding (2)

- Result of doubles folding:

$$
\begin{array}{rlr}
\underline{\boldsymbol{r}}^{(1)} & =\left(\mathbf{M}^{(11)}-\mathbf{A}_{\theta}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)}, & \underline{\boldsymbol{r}}^{(2)}=\underline{\mathbf{0}} \\
\mathbf{A}_{\theta} & =\mathbf{M}^{(21) \dagger}\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)} &
\end{array}
$$

- Iterates are only $\underline{\boldsymbol{r}}^{(1)}$ and $\underline{\boldsymbol{y}}^{(1)}$
$\Rightarrow$ More memory-efficient


## PP-ADC: Doubles folding (2)



## PP-ADC: Doubles folding (2)

- Result of doubles folding:

$$
\begin{array}{rlr}
\underline{\boldsymbol{r}}^{(1)} & =\left(\mathbf{M}^{(11)}-\mathbf{A}_{\theta}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)}, & \underline{\boldsymbol{r}}^{(2)}=\underline{\mathbf{0}} \\
\mathbf{A}_{\theta} & =\mathbf{M}^{(21) \dagger}\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)} &
\end{array}
$$

- Iterates are only $\underline{\boldsymbol{r}}^{(1)}$ and $\underline{\boldsymbol{y}}^{(1)}$
$\Rightarrow$ More memory-efficient
- For $\operatorname{ADC}(2) \mathbf{M}^{(22)}$ is diagonal
$\Rightarrow$ Computing $\mathbf{A}_{\theta}$ trivial given expression for $\mathbf{M}^{(21)}$ and $\mathbf{M}^{(21) \dagger}$


## Complex polarisation propagator (1)

- Complex polarisation propagator ansatz lead to

$$
\left(\mathbf{M}-\left(\omega_{m}-\imath \gamma_{m}\right) \mathbf{I}\right) \underline{\boldsymbol{y}}_{m}=\underline{\boldsymbol{f}}
$$

- $\gamma_{m}$ : Half-width, stimulated emission
- $\underline{f}$ : modified transition moments (real)
$\Rightarrow \underline{\boldsymbol{y}}_{m}$ becomes complex
- Assume same half-width: $\gamma_{m}=\gamma$
(empirically determined)
- Seek: $\left(\omega_{m}, \underline{\boldsymbol{y}}_{m}\right)$


## Complex polarisation propagator (2)

- Separate $\underline{\boldsymbol{y}}_{m}=\underline{\boldsymbol{y}}_{m}^{R}+{ }_{\mathrm{y}}^{m} \underline{\boldsymbol{y}}_{m}$ :

$$
\left(\begin{array}{cc}
\mathbf{M}-\omega \mathbf{I} & \gamma \mathbf{I} \\
-\gamma \mathbf{I} & \mathbf{M}-\omega \mathbf{I}
\end{array}\right)\binom{\underline{\boldsymbol{y}}_{m}^{R}}{\underline{\boldsymbol{g}}_{m}^{I}}=\left(\frac{\boldsymbol{f}}{0}\right)
$$

- Therefore

$$
\begin{aligned}
\underline{\boldsymbol{y}}_{m}^{R} & =-\frac{1}{\gamma}(\mathbf{M}-\omega \mathbf{I}) \underline{\boldsymbol{y}}_{m}^{I} \\
\gamma \underline{\boldsymbol{f}} & =\left((\mathbf{M}-\omega \mathbf{I})^{2}-\gamma^{2} \mathbf{I}\right) \underline{\boldsymbol{y}}_{m}^{I} \\
& =\left((\mathbf{M}-2 \omega \mathbf{I}) \mathbf{M}+\left(\omega^{2}+\gamma^{2}\right) \mathbf{I}\right) \underline{\boldsymbol{y}}_{m}^{I}
\end{aligned}
$$

- Expensive linear system
- Doubles folding not so simple


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## General complications

- Index restrictions in expressions
- Conserving spin symmetry
- Doubles part $\underline{\boldsymbol{y}}^{(2)}$ is large vector
$\Rightarrow$ Storing the subspace can be memory bottleneck
- $\operatorname{ADC}(n)$ matrix expressions get a lot more involved with $n$
- Storage of ADC matrix is impossible
$\Rightarrow$ Doubles folding is very appealing
- Complex propagator:
- Two ADC matrices needed
- Need to solve for multiple $\omega / \gamma$


## Open questions

- Convergence properties of $\operatorname{ADC}(n)$ series for $\Pi^{+}$
- Convergence properties of $\operatorname{ADC}(n)$ eigenvalue problem
- Initial guess for $\operatorname{ADC}(n)$ from $\operatorname{ADC}(n-1)$
- Going beyond the Jacobi algorithm
- Better preconditioning techniques for
- Solving by diagonalisation
- Solving linear-response-like
- Doubles folding for PP-ADC(2)-x or PP-ADC(3)
- Doubles folding for CPP-ADC(2) and beyond
- Can one re-use some of the work for one $\omega$ for the next


## Preconditioning PP-ADC

- Davidson, Sleijpen-van-der-Vorst preconditioning:

$$
\mathbf{K}_{\theta}^{+} \mathbf{M}_{\theta} \underline{\boldsymbol{t}}^{(j)}=-\mathbf{K}_{\theta}^{+} \underline{\boldsymbol{r}}^{(j)}
$$

where

$$
\mathbf{K}_{\theta}=\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right) \mathbf{K}\left(\mathbf{I}-\underline{\boldsymbol{v}}^{(j)} \underline{\boldsymbol{v}}^{(j) *}\right)
$$

and a cheaply invertible

$$
\mathbf{K} \approx\left(\mathbf{M}-\theta^{(j)} \mathbf{I}\right)
$$

- Linear-response-like formalism

$$
\underline{\boldsymbol{r}}^{(j)}=\mathbf{M} \underline{\boldsymbol{y}}^{(j)}-\theta^{(j)} \underline{\boldsymbol{y}}^{(j)}
$$

- Preconditioner re-use for different $\omega$ ?
- Going beyond Jacobi?


## PP-ADC(2)x, PP-ADC(3): Doubles folding



$$
\begin{aligned}
\underline{\boldsymbol{r}}^{(1)} & =\left(\mathbf{M}^{(11)}-\mathbf{A}_{\theta}-\theta \mathbf{I}\right) \underline{\boldsymbol{y}}^{(1)} \\
\mathbf{A}_{\theta} & =\mathbf{M}^{(21) \dagger}\left(\mathbf{M}^{(22)}-\theta \mathbf{I}\right)^{-1} \mathbf{M}^{(21)}
\end{aligned}
$$

## CPP-ADC: Doubles folding

Let

$$
\begin{aligned}
\widetilde{\mathbf{M}}_{\omega \gamma} & \equiv(\mathbf{M}-2 \omega \mathbf{I}) \mathbf{M}+\left(\omega^{2}+\gamma^{2}\right) \mathbf{I} \\
\Rightarrow\binom{\underline{\boldsymbol{r}}^{(1)}}{\underline{\boldsymbol{r}}^{(2)}} & =\left(\begin{array}{cc}
\widetilde{\mathbf{M}}_{\omega \gamma}^{(11)} & \widetilde{\mathbf{M}}_{\omega \gamma}^{(21) \dagger} \\
\widetilde{\mathbf{M}}_{\omega \gamma}^{(21)} & \widetilde{\mathbf{M}}_{\omega \gamma}^{(22)}
\end{array}\right)\binom{\underline{\boldsymbol{y}}^{(1)}}{\underline{\boldsymbol{y}}^{(2)}}-\binom{\gamma \underline{\boldsymbol{f}}^{(1)}}{\gamma \underline{\boldsymbol{f}}^{(2)}}
\end{aligned}
$$

Enforce zero doubles residual:

$$
\begin{aligned}
& \underline{\mathbf{0}} \stackrel{!}{=} \underline{\boldsymbol{r}}^{(2)} \\
&=\widetilde{\mathbf{M}}_{\omega \gamma}^{(21)} \underline{\boldsymbol{y}}^{(1)}+\widetilde{\mathbf{M}}_{\omega \gamma}^{(22)} \underline{\boldsymbol{y}}^{(2)}-\gamma \underline{\boldsymbol{f}}^{(2)} \\
& \Rightarrow \underline{\boldsymbol{y}}^{(2)}=\left(\widetilde{\mathbf{M}}_{\omega \gamma}^{(22)}\right)^{-1}\left(\gamma \underline{\boldsymbol{f}}^{(2)}-\widetilde{\mathbf{M}}_{\omega \gamma}^{(21)} \underline{\boldsymbol{y}}^{(1)}\right)
\end{aligned}
$$

Plug into first equation:

$$
\begin{aligned}
& \Rightarrow \underline{\boldsymbol{r}}^{(1)}=\widetilde{\mathbf{M}}_{\omega \gamma}^{(11)} \underline{\boldsymbol{y}}^{(1)}-\widetilde{\mathbf{M}}_{\omega \gamma}^{(21) \dagger}\left(\widetilde{\mathbf{M}}_{\omega \gamma}^{(22)}\right)^{-1} \widetilde{\mathbf{M}}_{\omega \gamma}^{(21)} \underline{\boldsymbol{y}}^{(1)} \\
&+\gamma \widetilde{\mathbf{M}}_{\omega \gamma}^{(21) \dagger}\left(\widetilde{\mathbf{M}}_{\omega \gamma}^{(22)}\right)^{-1} \underline{\boldsymbol{f}}^{(2)}
\end{aligned}
$$


[^0]:    ${ }^{1}$ M. Wormit, D. R. Rehn, P. H. Harbach, J. Wenzel, C. M. Krauter, E. Epifanovsky and A. Dreuw. Mol. Phys., 112, 774 (2014)

[^1]:    ${ }^{1}$ J. Schirmer. Phys. Rev. A, 26, 2395 (1982)

[^2]:    ${ }^{1}$ J. Schirmer. Phys. Rev. A, 26, 2395 (1982)

[^3]:    ${ }^{1}$ J. Schirmer. Phys. Rev. A, 43, 4647 (1991)

